

## HSPD-12 Implementation Status Report

Updated Date:	Number of Employees requiring PIV credentials*	Number of Employees requiring a NACI (or at least equivalent) that have not previously undergone a background check*	Number of Employees requiring a NACI (or at least equivalent) with background investigation in process*	Number of Employees with completed/ adjudicated NACI (or equivalent background investigation )*	Total Number of PIV credentials Issued to Employees*	Total Number of PIV credentials remaining to be issued to Employees*	Number of Contractors requiring PIV credentials	Number of Contractors requiring NACI (or at least equivalent) that have not previously undergone a background check	Number of Contractors requiring a NACI (or at least equivalent) with background investigation in process	Number of Contractors with completed/ adjudicated NACI (or equivalent background investigation )	Total Number of PIV credentials Issued to Contractors	Total Number of PIV credentials remaining to be issued to Contractors	Number of other individuals (e.g. guest researchers) requiring PIV credentials	Number of other individuals (e.g. guest researchers) requiring NACI (or at least equivalent) that have not previously undergone a background check	Number of other individuals requiring a NACI (or at least equivalent) with background investigation in process	Number of other individuals with completed/ adjudicated NACI (or equivalent background investigation )
<i>Dec/2007</i>	3,312,579	186,400	1,219,296	1,906,883	8,151	3,304,428	414,535	95,534	102,016	216,985	4,315	410,220	NA	NA	NA	NA
<i>Mar/2008</i>	3,223,642 (With 2,253,008 DoD Uniformed Military)	186,400	1,219,296	1,906,883	83,659 (With 58,998 DoD Uniformed Military)	3,139,983	542,274	95,534	102,016	216,985	25,119	517,155	NA	NA	NA	NA
<i>Jun/2008</i>	3,259,087 (With 2,261,025 DoD Uniformed Military)	186,400	1,219,296	1,906,883	411,272 (With 300,981 DoD Uniformed Military)	3,139,983	559,835	95,534	102,016	216,985	106,000	453,835	NA	NA	NA	NA
<i>Sep/2008</i>	3,301,985 (With 2,283,829 DoD Uniformed Military)	186,400	1,219,296	1,906,883	777,171 (With 590,935 DoD Uniformed Military)	2,847,815	565,964	95,534	102,016	216,985	196,625	369,339	NA	NA	NA	NA
<i>Dec/2008</i>	3,242,195 (With 2,349,305 DoD Uniformed Military)	186,400	1,219,296	1,906,883	1,037,591 (With 768,710 DoD Uniformed Military)	2,204,604	571,270	95,534	102,016	216,985	276,340	294,930	NA	NA	NA	NA
<i>Mar/2009</i>	3,330,831 (With 2,310,687 DoD Uniformed	186,400	1,219,296	1,906,883	1,739,710 (With 1,289,695 DoD Uniformed	1,591,121	586,213	95,534	102,016	216,985	437,760	148,453	NA	NA	NA	NA

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	Military)				Military)												
<i>Jun/2009</i>	3,436,766 (With 2,326,198 DoD Uniformed Military)	186,400	1,219,296	1,906,883	2,233,851 (With 1,686,326 DoD Uniformed Military)	1,202,915	598,450	95,534	102,016	216,985	558,211	40,239	NA	NA	NA	NA	NA
<i>Sep/2009</i>	3,454,698 (With 2,309,058 DoD Uniformed Military)	186,400	1,219,296	1,906,883	2,874,581 (With 2,171,253 DoD Uniformed Military)	580,117	601,747	95,534	102,016	216,985	601,747	0*	NA	NA	NA	NA	NA
<i>Oct/2009</i>	3,456,683 (With 2,309,284 DoD Uniformed Military)	186,400	1,219,296	1,906,883	3,058,647 (With 2,315,457 DoD Uniformed Military)	398,036	600,763	95,534	102,016	216,985	600,763	0*	NA	NA	NA	NA	NA

*\*Initial issuance is complete; however sustainment issuance is ongoing due to the volatile nature of the population.*

*Remarks: As indicated in DoD's January 2008 Updated HSPD 12 Implementation Plan, DoD continues to improve the links between the Department's personnel security and enterprise credentialing processes and systems. In December 2006, DoD conducted an analysis of background investigations within DoD JPAS system. Although valuable analytical data was secured, JPAS system was determined to not be able to meet the HSPD 12 requirements. DoD and OPM have begun an initiative to analyze and reconcile over 1M background investigation records. This effort will help improve the fidelity of the Department's background investigation numbers. Until this work is completed, the background investigation numbers provided in this report may not be an accurate reflection of the completed qualifying investigations and be more a reflection of the DoD JPAS data quality received from the Military Services and Defense Agencies.*

#### Implementation Plan Summary

<i>[Planned Date of Full Implementation]</i>		<b><i>Next Major Milestone: ([MM/DD/YYYY] - "brief description of next major milestone")</i></b>
Summer 2012		Complete issuance of PIV credentials to all Employees and Contractors

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<p>Ongoing—As outlined within DoD’s February 2009 Updated HSPD-12 Implementation Plan and in an effort to improve the fidelity of the Department’s background investigation numbers, DoD and OPM began an initiative to analyze and reconcile over 1M background investigation records which resulted in shrinking the number to 700,000. These numbers may not be an accurate reflection of the completed qualifying investigations as some investigations may not be reported in JPAS by Military Services and Defense Agencies. The Department’s long term effort is tightly aligned with the federal transformation initiative for background investigation processes/procedures.</p>		<p>Complete adjudication or verification of background investigations for all Employees and Contractors</p>
<p>Completed</p>		<p>Complete integration of PIV credentials with logical access systems</p>
<p>Ongoing—In addition to HSPD-12, there are multiple laws, Executive Orders, HSPD’s, and more that affect screening and physical access control requirements for the DoD. Implementations of physical access control mandates have several key component dates as provided under Section 1069 of NDAA 2008—develop standards by 1 February 2009, brief Congress by 1 August 2009, and implement by October 2010. In recognition of these key milestone dates, the Department has drafted and placed in coordination a Directive-Type Memorandum (DTM) which will provide interim implementation guidance to the Services and Agencies. Within 180 days of signature of the DTM, the Department will formally staff a DoD Instruction that will formalize the physical access control policy, publish the system standards, and establish the timelines for compliance. The Department expects the October 2010 date to reflect the point of commencement of Defense-wide transition to the new standards. As access control systems are not centrally funded, but are resourced at the local base or station level, the pending policy documents will provide direction governing the expenditures of funds resulting in the migration or replacement of existing systems to HSPD-12 compliance as those funds are available through the normal planning, programming, and budgeting process by the bases and stations.</p>		<p>Complete integration of PIV credentials with physical access systems</p>
<p>Completed</p>		<p>Issuing PIV credentials to all new employees and contractors as part of the on- boarding process</p>

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- **Updated Date:** (representing day-1 of the 3<sup>rd</sup> month of each fiscal quarter, updates should be published on a publicly accessible website by the end of each quarter)
  - Each public update should represent agency status as of the indicated date. This report should contain all historical updates thru full implementation.
- **Planned Date of Full Implementation:**
  - This is your agency's date for full compliance as indicated in your agency/OMB mutually agreed-upon implementation plan.
- **Planned Total Number of NACIs (or at least equivalent) to be verified or completed and adjudicated for current employees by Oct. 27, 2007\*:**
  - This represents the total number of NACIs (or at least equivalent) planned for completion by October 27, 2007.
- **Next major milestone:**
  - Briefly describe your next major milestone and include the milestone date (e.g. 10/27/2008 - 60% of employees, contractors, and other individuals received credentials).
- **Number of Employees requiring PIV credentials\***
  - This represents the total number of Federal employees within the agency that require PIV credentials
- **Number of Employees requiring a NACI (or at least equivalent) that have not previously undergone a background check\***
  - This represents the total number of Federal employees that have not previously undergone a background check.
- **Number of Employees requiring a NACI (or at least equivalent) with background investigation in process \***
  - This represents the number of Federal employees with background investigation currently in process.
- **Number of Employees with completed/adjudicated NACI (or equivalent background investigation)\***
  - This represents the number of Federal employees within the agency that require PIV credentials with completed/adjudicated NACI (or equivalent with background investigation).
- **Total Number of PIV credentials Issued to Employees\***
  - This represents the number of Federal employees with PIV credentials issued. (This amount plus the “Number of Employees requiring PIV credentials” should represent the agency's full Federal workforce requiring routine access to Federal facilities and information systems.)
- **Total Number of PIV credentials remaining to be issued to Employees\***
  - This represents the difference between **Number of Employees requiring PIV credentials** and **Total Number of PIV credentials Issued to Employees**
- **Number of Contractors requiring PIV credentials**
  - This represents the number of contractors within agency that require PIV credentials
- **Number of Contractors requiring NACIs (or at least equivalent) that have not previously undergone a background check**
  - This represents the total number of contractors that have not previously undergone a background check.
- **Number of Contractors requiring a NACI (or at least equivalent) with background investigation in process**
  - This represents the number of contractors with background investigation currently in process.
- **Number of Contractors with completed/adjudicated NACI (or equivalent background investigation)\***
  - This represents the number of Contractors that require PIV credentials with completed/adjudicated NACI (or equivalent with background investigation).
- **Total Number of PIV credentials Issued to Contractors**
  - This represents the number of contractors with PIV credentials issued
- **Total Number of PIV credentials remaining to be issued to Contractors \***
  - This represents the difference between **Number of Contractors requiring PIV credentials** and **Total Number of PIV credentials Issued to Contractors**
- **Number of other individuals (e.g. guest researchers) requiring PIV credentials**
  - This represents the number of other individuals within agency that require PIV credentials but have not yet been issued the credentials.
- **Number of other individuals (e.g. guest researchers) requiring NACIs (or at least equivalent) that have not previously undergone a background check**
  - This represents the total number of other individuals that have not previously undergone a background check.
- **Number of other individuals requiring a NACI (or at least equivalent) with background investigation in process**
  - This represents the number of other individuals with background investigation currently in process.
- **Number of other individuals with completed/adjudicated NACI (or equivalent background investigation)\***

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- This represents the number of other individuals that require PIV credentials with completed/adjudicated NACI (or equivalent background investigation).

- **Total Number of PIV credentials issued to individuals other than employees or contractors (e.g. guest researchers)**

- This represents the number of other individuals with PIV credentials issued.